Please type a plus sign (+) inside this box

Sheet

Technology Center 2600

S00S & I A9A

PTO/SB/08A (08-00)

Substitute for form 1449A/PT INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2

- U3/	H -1030
	Compute if Known
Application Number	10/023,396
Filing Date	December 20, 2001
First Named Inventor	Alexander Goetz
Group Art Unit	2621
Examiner Name	[Not Assigned]
Attorney Docket Number	ASDI-005/00US

····	U.S. PATENT DOCUMENTS							
		U.S. Patent Document			Date of Publication of Cited			
Examiner Initials*	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Document MM-DD-YYYY			
<u>g</u> N	1.	4,143,770		Grimmell et al.	03/13/1979			
7	2.	5,522,512		Archer et al.	06/04/1996			
	3.	5,679,954		Soloman	10/21/1997			
VR	4.	5,900,634		Soloman	05/04/1999			
	-		!		Tri Co			
					F.			
	<u> </u>				[T - 2 - 20			
					E 55 111			
		 			5 D			
	 	†			7 55 1			
					p. 13 (1)			
					~ · · · · · ·			
					3 0 /			
	1				R			

				FOREIGN	PATENT DOCUMENTS	
	Cite No.1	TOTAL TALENT DOCUMENT			N	Date of Publication
mittais.	No.	Office	Number ⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY
BN	5.	EP	0 887 638	Al	The Automation Partnership (Cambridge) Limited	12/30/1998
	<u> </u>	ļ				28 = 37
						0 70 7
		· .				
		ļ				
	 					2(0)
						0

<u> </u>				0	
Examiner Signature	Sargym	Date Considered	14W3	3	· -

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.

¹⁷²²⁸⁹ v1/BD

³_XT01!.DOC

APR 0 8 2002 €		PTO/SB/08A (08-00))
Substitute for form 1449B/PTO		Complete if Known	
Substitute for form 1449B/PTO	Application Number	10/023,396	
	Filing Date	December 20, 2001	╛
INFORMATION DISCLOSURE	First Named Inventor	Alexander Goetz	╝
STATEMENT BY APPLICANT	Group Art Unit	2621	╝
(use as many sheets as necessary)	Examiner Name	[Not Assigned]	

Attorney Docket Number

ASDI-005/00US

	magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country	
	where published.	
	16 28 第 5	
	00 MA	FINE
,	L ROOT	
		()
	Tr. «) 1 2 2
	123 E 28	
		ERR 16 DE TECHNOL AGE CEI V

Considered Signature *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet

of

Unique citation designation number.
 Applicant is to place a check mark here if English language Translation attached.

PTO/SB/08A (08-00)



Complete if Known Substitute for form 1449A/PTO Application Number 10/023,396 INFORMATION DISCLOSURE Filing Date December 20, 2001 First Named Inventor Goetz STATEMENT BY APPLICANT Group Art Unit 2621 (use as many sheets as necessary) Examiner Name [Not Assigned] Sheet of 2 Attorney Docket Number ASDI-005/00US

	U.S. PATENT DOCUMENTS						
	Ī	U.S. Patent	Document		Date of Publication of Cited		
Examiner Initials*	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Document MM-DD-YYYY		
50N	1.	4,893,253		Lodder	1/9/1990		
t	2.	5,504,332		Richmond, et al.	04/02/1996		
SIN	3.	5,750,996		Drennen, III et al.	05/12/1998		
	 						
					SER PET		
	 			 	CEIVED		
				0	CT 3 1 2002		
				Techn	plogy Center 2600		
	-			Brand and a state of the state	J.091		
	 						
		i					

nitials* No. 1 Profession Facility Document Office 3 Number 4 Kind Code 5 (if known)	Cite	TOTEIGH FAIGHT DOCUMENT			Name of Patentee or Applicant of Cited	Date of Publication	
	Document	of Cited Document MM-DD-YYYY	T ⁶				
20	4	wo	96/32631	A1	Aldridge, Paul K., et al	10/17/1996	
1	5.	EP	0 959 342	. A2	Agrovision AB S-233 70 Lund (SE)	11/24/1999	
	6.	EP	0 959 342	A3	Agrovision AB S-233 70 Lund (SE)	01/12/2000	
U	7.	wo	01/03646	A2	Spectral Dimensions, Inc., et al	01/18/2001	
811	8.	wo	01/03646	A3	Spectral Dimensions, Inc., et al.	01/18/2001	
ριο							

	t <u> </u>			
Examiner	CANCILLA	Date	17/10/12	
Signature	Sac Ni V	Considered	12/10/19	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.

¹⁸⁵⁸⁷² v1/BD

T	



PTO/SB/08A (08-00)

			1/31171		
				Complete if Known	
stitute for forn	n 1449B/PT	0	Application Number	10/023,396	
			Filing Date	December 20, 2001	
[FORMA]	TION DI	SCLOSURE	First Named Inventor	Goetz	
TATEMEN	NT BY A	PPLICANT	Group Art Unit	2621	
(use as mar	ny sheets a	s necessary)	Examiner Name	[Not Assigned]	
2	of	2	Attorney Docket Number	ASDI-005/00US	
	FORMATATEME!	FORMATION DI ATEMENT BY A (use as many sheets a	FORMATION DISCLOSURE CATEMENT BY APPLICANT (use as many sheets as necessary) 2 of 2	FORMATION DISCLOSURE TATEMENT BY APPLICANT (use as many sheets as necessary) Application Number Filing Date First Named Inventor Group Art Unit Examiner Name	Stitute for form 1449B/PTO Application Number 10/023,396 FORMATION DISCLOSURE Filing Date December 20, 2001 First Named Inventor Goetz Group Art Unit 2621 Examiner Name [Not Assigned]

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SN	9.	MACDONALD B. F., et al.: "Some Applications of Near-Infrared Reflectance Analysis in the harmaceutical Industry;" JOURNAL OF PHARMACEUTICAL AND BIOMEDICAL ANALYSIS; Vol. 11, No. 11-12, 1993, Pages 1077-1085, XP001098341; ISSN: 0731-7085; Page 1079, Column 1, Paragraph 3; Page 1080, Column 2, Paragraph 3	
6N)	10.	ALDRIDGE P. K., et al.: "Identification of Tablet Formulations Inside Blister Packages by Near-Infrared Spectroscopy;" APPLIED SPECTROSCOPY; Vol. 48, No. 10, 1994, Pages 1272-1276; XP001098336; Whole Document	
GN	11.	BLANCO, M., et al.: "Identification and Quantitation Assays for Intact Tablets of Two Related Pharmaceutical Preparations by Reflectance Near-Infrared Spectroscopy: Validation of the Procedure;" JOURNAL OF PHARMACEUTICAL AND BIOMEDICAL ANALYSIS; Vol. 22, No. 1, February 2000 (2000-02), Pages 139-148, XP002212018; ISSN: 0731-7085; Whole Document	
(h)	12.	Copy of the International Search Report for PCT Application Serial No. PCT/US02/03286, mailed on October 14, 2002	
SN	13.	Copy of the International Search Report for PCT Application Serial No. PCT/US02/03287, mailed on September 24, 2002	
80	14.	Copy of the International Search Report for PCT Application Serial No. PCT/US02/03285, mailed on September 24, 2002	
GN)	15.	Copy of the International Search Report for PCT Application Serial No. PCT/US02/03288, mailed on September 24, 2002 RECEIVE	D
		OCT 3 1 2002	,
		Technology Center	2600
· · · · · · · · · · · · · · · · · · ·			

	-·			
Examiner Signature	GNIFYN	Date Considered	12/10/3	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.
² Applicant is to place a check mark here if English language Translation attached.